

MA #4 2166

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stewart E. Erickson

Serial No.: 10/047,821

Filing Date: January 15, 2002

For: RESOURCE CONSERVATION METHOD

Docket No.: 25005/101/102



Examiner: N/A

Group Art Unit: 2166

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

APR 24 2002

Technology Center 2100

Sir:

<p>CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>17th</u> day of <u>April</u>, 20<u>02</u></p> <p>By <u>Laurie E. Nemitz</u> Laurie E. Nemitz</p>

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	x18=	\$
INDEPENDENT CLAIMS	-	=		x40=	\$	x80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+260=	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed.

[XXXX] Applicant claims small entity status under 37 CFR § 1.27.

[XXXX] Other: Information Disclosure Statement, Form PTO-1449,
Cited References and Postcard.

[XXXX] Please charge any deficiencies or credit any over payment
in the enclosed fees to Deposit Account 14-0620.



By: _____

Richard C. Stempkowski, Jr.

Reg. No. 45,130

NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street N.E.
Minneapolis, Minnesota 55413
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on this 17th day of April, 2002

By: Laurie E. Nemitz

Laurie E. Nemitz

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Stewart E. Erickson

By his attorney,

Dated: 4/17/02

Richard C. Stempkowski, Jr., Reg. No. 45,130
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FORM PTO-1449	Atty. Docket No.: 25005/101/102	Serial No.: 10/047,821
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant:	
	Stewart E. Erickson	
	Filing Date	Group Art:
	January 15, 2002	2166

U.S. PATENT DOCUMENTS

APR 24 2002

Examiner Initial	Document No.	Date	Name	Class	Technology Center Class	If Appropriate
AA	1,859,467	05/24/32	Rath			09/14/29
AB	1,984,880	12/18/34	Ker et al.	40	3	07/02/31
AC	2,669,047	02/16/54	Rieger	40	2	12/30/50
AD	4,812,317	03/14/89	Taylor	426	87	12/15/87
AE	5,264,236	11/23/93	Ogasahara et al.	426	600	10/15/91
AF	5,409,508	04/25/95	Erickson	47	1.01	10/18/93
AG	5,681,360	10/28/97	Siwajek et al.	48	127.3	01/11/95
AH	5,682,709	11/04/97	Erickson	47	58	08/14/95
AI	5,732,495	03/31/98	Lowe et al.	40	665	06/05/96
AJ	5,842,357	12/01/98	Siwajek et al.	62	625	10/28/97
AK	5,975,020	11/02/99	Caveny et al.	119	174	07/02/98
AL	6,108,967	08/29/00	Erickson	47	1.01	12/23/98
AM	6,205,704	03/27/01	Schmitz et al.	47	17	03/08/99
AN	6,279,255	08/28/01	Larsen	40	637	07/10/98

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Soil Conservation Council of Canada, Carbon Sequestration and Trading Implications for Canadian Agriculture, www.cattlefeeder.ab.ca/manure/carbonsequest.shtml
AP	Warwick J. McKibbin and Peter J. Wilcoxon, Permit Trading Under the Kyoto Protocol and Beyond, Brookings Discussion Papers in International Economics, May 1999.
AQ	Kyoto Protocol to the United Nations Framework Convention on Climate Change, www.unfccc.de/resource/docs/convkp/kpeng.html

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.